

# OUTGOING DTC INSTRUCTIONS

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**Date:** \_\_\_\_\_

**To:** **Ryan Beck & Co., Inc.**

**Attn:** Livingston Operations

**Re:** Client Name \_\_\_\_\_  
Account Number \_\_\_\_\_

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Dear Sir or Madam:

Please accept this letter as authorization to deliver:

\_\_\_\_\_  
*(Amount & Description of Security)*

Name of Firm: \_\_\_\_\_

DTC #: \_\_\_\_\_

Account # at Receiving Firm: \_\_\_\_\_

Name on Account: \_\_\_\_\_

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*The undersigned hereby agrees to indemnify Ryan Beck & Co., and its parent, subsidiaries and affiliates and their respective past and present officers, directors, employees and agents against any and all loss, liability, claim, damage or expense (including without limitation, judgments, amounts paid in settlement and attorney's fees) arising out of or relating to the transfer of securities described herein.*

\_\_\_\_\_  
*(Client Signature)*

\_\_\_\_\_  
*(Branch Manager Approval)*

*I have verified that this is a valid LOA*

\_\_\_\_\_  
*(Joint Party Signature)*

\_\_\_\_\_  
*(Regional Director or Designee Approval)*

*(Required for transfer of \$500,000 or greater)*

**PLEASE NOTE: JOINT ACCOUNTS REQUIRE THE SIGNATURES OF BOTH PARTIES.  
CORPORATE ACCOUNTS MUST HAVE REQUIRED RESOLUTIONS ON FILE.**